

CUSTOMER NO. 27123

Docket No. 4742-4001US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): BRODERICK, Patricia A.      Group Art Unit: 1617  
Serial No.: 10/580,884      Examiner: Not Yet Assigned  
Filed: May 26, 2006      Confirmation No: 8835  
For: CLOZAPHINE AND COCAINE EFFECTS ON DOPAMINE AND  
SEROTONIN RELEASE IN NUCLEUS ACCUMBENS DURING  
PSYCHOSTIMULANT BEHAVIOR AND WITHDRAWAL

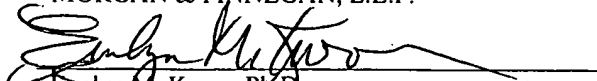
**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are not attached because they are US Patents and/or U.S. Patent Publications; ☒ Copies of the non-US Patent or references are attached; and/or ☐ were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ Please charge the \$180 fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☒ This document is accompanied by a International Search Report pursuant to International Application No. PCT/US04/040756.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4742-4001US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

  
Evelyn M. Kwon, Ph.D.  
Registration No. 54,246

Dated: September 20, 2006

Correspondence Address:  
MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281 2101  
212 415 8700      Telephone  
212 415 8701      Facsimile



CUSTOMER NO. 27123

Docket No. 4742-4001US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): BRODERICK, Patricia A. Group Art Unit: 1617  
Serial No.: 10/580,884 Examiner: Not Yet Assigned  
Filed: May 26, 2006 Confirmation No: 8835  
For: CLOZAPHINE AND COCAINE EFFECTS ON DOPAMINE AND  
SEROTONIN RELEASE IN NUCLEUS ACCUMBENS DURING  
PSYCHOSTIMULANT BEHAVIOR AND WITHDRAWAL

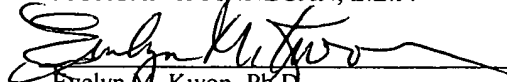
**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are not attached because they are US Patents and/or U.S. Patent Publications; ☒ Copies of the non-US Patent or references are attached; and/or ☐ were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ Please charge the \$180 fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☒ This document is accompanied by a International Search Report pursuant to International Application No. PCT/US04/040756.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4742-4001US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

  
Evelyn M. Kwon, Ph.D.  
Registration No. 54,246

Dated: September 20, 2006

Correspondence Address:  
MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281 2101  
212 415 8700 Telephone  
212 415 8701 Facsimile

The PTO did ~~not~~ receive the following  
listed item(s) as pt.

CUSTOMER NO. 27123



Docket No. 4742-4001US1

**IN THE UNITED STATES RECEIVING OFFICE**

Applicant(s): BRODERICK, Patricia A.      Group Art Unit: 1617  
Serial No.: 10/580,884      Examiner: Not Yet Assigned  
Filed: May 26, 2006      Confirmation No: 8835  
For: CLOZAPHINE AND COCAINE EFFECTS ON DOPAMINE AND  
SEROTONIN RELEASE IN NUCLEUS ACCUMBENS DURING  
PSYCHOSTIMULANT BEHAVIOR AND WITHDRAWAL

**EXPRESS MAIL CERTIFICATE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV825708018US  
Date of Deposit: September 20, 2006

I hereby certify that the following attached paper(s) and/or fee:

1. Supplemental Information Disclosure Statement (1 pp; No fee);
2. Form PTO 1449A Information Disclosure Citation (1 pp);
3. Form PTO 1449B Information Disclosure Citation listing non patent literature documents; International Search reported dated July 14, 2006 (1 pp);
4. Copy of non-patent items listed on Form PTO 1449B (2 pp); and
5. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

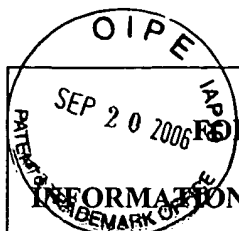
Ron London

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281 2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



FORM PTO-1449A

Attorney Docket:  
4742-4001US1Serial No.:  
10/580,884Applicant:  
BRODERICK, Patricia A.Filing Date:  
May 26, 2006Group Art Unit:  
Not Yet Assigned

## U.S. PATENT / PUBLICATION DOCUMENTS

Examiner Initial		Patent/Publication Number	Publication/Issue Date	Name	Filing Date
	1.	US 5,238,945	August 24, 1993	Perregaard et al.	Apr. 30, 1992
	2.	US 5,378,714	January 3, 1995	Hansen et al.	Nov. 19, 1992
	3.	US 5,891,461	April 6, 1999	Jona et al.	Sept. 14, 1995
	4.				
	5.				
	6.				
	7.				
	8.				
	9.				
	10.				
	11.				
	12.				
	13.				
	14.				

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Patent Number	Publication Date	Country	Copy Filed	Translation
	15.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A
	16.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A
	17.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A
	18.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A
	19.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A
	20.				<input type="checkbox"/> Yes	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Abstract <input type="checkbox"/> N/A

Examiner	Date Considered
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

<b>FORM PTO-1449B</b>  <b>INFORMATION DISCLOSURE</b> <b>CITATION</b>	Attorney Docket:	Serial No.:
	4742-4001US1	10/580,884
	Applicant:	
	BRODERICK, Patricia A.	
	Filing Date:	Group Art Unit:
	May 26, 2006	Not Yet Assigned

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1.	INTERNATIONAL SEARCH REPORT, PCT/US04/40756 DATED JULY 14, 2006
	2.	
	3.	
	4.	
	5.	
	6.	
	7.	
	8.	
	9.	
	10.	
	11.	
	12.	
	13.	
	14.	
	15.	
	16.	
	17.	
	18.	
	19.	
	20.	
	21.	
	22.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*